



PRMN

PlanRock Market Neutral Income ETF

How do you know if this fund is appropriate for your investment portfolio?

- ✓ The fund seeks income and capital appreciation.
- ✓ A potential compliment or replacement to bonds, bond mutual funds, or ETFs.
- ✓ The fund seeks a low to negative correlation to U.S. and international bonds or bond funds.
- ✓ The strategy is an active, systematic rules-based strategy with 3 experienced Portfolio Managers.
- ✓ The strategy is designed to provide high dividend income while attempting neutralize equity risks.
- ✓ The fund can allocate to global quality high dividend paying stocks and ETFs while striving to achieve absolute returns by hedging markets and managing volatility to a conservative level.
- ✓ Designed to provide opportunities to profit during down stock or bond markets by having long and short hedging positions.

What could the fund experience?

- ✓ The fund's performance is not designed to track the broader bond market or indexes.
- ✓ The fund is designed not to correlate to the bond markets.
- ✓ The fund may experience periods of underperformance and outperformance compared to a typical bond fund or market index.
- ✓ The fund may experience similar volatility to a 10-to-20-year U.S. Treasury Bond (Example: 6% to 7% standard deviation objective).

What the Fund is and what the Fund is not?

- ✓ The fund can be a well-balanced diversifier to bonds and other lower volatility assets.
- ✓ The fund is not designed to hit a homerun or be the highest performer in any given year.
- ✓ The fund is designed to hit base hits with lower downside market volatility while attempting to achieve attractive long-term performance relative to the bond markets.
- ✓ The fund can be a hedge to declining growth and income markets.





Before investing in a PlanRock ETF, you should carefully consider the fund's investment objectives, risks, charges, and expenses. This and other information are in the prospectus and summary prospectus, a copy of which may be obtained by visiting the fund's website at www.PlanRockFunds.com, or by calling 800-677-6025. Please read the fund's prospectus and summary prospectus carefully before investing.

PlanRock Investment Management, LLC is a registered investment advisor. Registration as an investment advisor does not imply a certain level of skill or training. The PlanRock Funds are distributed by Northern Lights Distributors, LLC member FINRA/SIPC. Northern Lights Distributors, LLC is not affiliated with PlanRock.

Investment in PlanRock ETFs involves risk including possible loss of principal and may not be suitable for all investors. The Fund is new with a limited history of operations. There is no assurance that the Fund's strategy for allocating assets will achieve its investment objectives. The Fund is structured as an ETF and is subject to risks including market price variance, trading issues, and not being individually redeemable. ETF investments involve advisory and other expenses which will be indirectly paid by the Fund. The Adviser's judgments about the growth, value or potential appreciation of an investment may prove to be incorrect or fail to have the intended results, which could adversely impact the Fund's performance and cause it to underperform relative to its benchmark, or not to achieve its investment goal. Like any quantitative analysis, the Adviser's investment model carries a risk that the model used might be based on one or more incorrect assumptions. Rapidly changing and unforeseen market dynamics could lead to a decrease in the short-term effectiveness of the model and could have an adverse effect on the securities selected for the Fund. No assurance can be given that the Fund will be successful under all or any market conditions. Fund shares are not individually redeemable and are issued and redeemed by the Fund at their net asset value ("NAV") only in large, specified blocks of shares called creation units. Shares otherwise can be bought and sold only through exchange trading at market price (not NAV). Shares may trade at a premium or discount to their NAV in the secondary market. Ordinary brokerage commissions apply. Brokerage commissions will reduce returns.

17932841-NLD-02/28/2024