



PlanRock
FUNDS

PRAE

PlanRock Alternative Growth ETF

How do you know if this fund is appropriate for your investment portfolio?

- ✓ The fund seeks growth of principal.
- ✓ A potential compliment or replacement to U.S, International or Global stock, mutual funds, or ETFs
- ✓ The fund seeks a lower correlation to U.S. and international stocks or funds when needed.
- ✓ The strategy is designed to take advantage of markets that are moving in a positive direction and potentially preserve capital in declining markets.
- ✓ The tracks the volatility and momentum of 27 markets including domestic, international, and emerging regions of the world, sectors, industries, gold, derivative hedging strategies and other growth assets.
- ✓ Designed to provide value during bull markets and preserve during bear markets.

What could the fund experience?

- ✓ The fund's performance is not designed to track the broader stock market or capitalization weighted indexes.
- ✓ The fund may experience periods of high correlation and times of low to negative correlation to the broader stock market.
- ✓ The fund may experience periods of underperformance and outperformance compared to a typical stock fund or market index.
- ✓ The strategy is designed to capitalize on intermediate moves in the markets (3 months or longer). As a result, the fund could decline over a day, week, or month when markets reverse.
- ✓ The fund may perform best when markets make longer term trends.
- ✓ You should expect similar or slightly less volatility than the broader stock market.

What the fund is and what the fund is not?

- ✓ The fund can be a well-balanced diversifier to stocks and other growth assets.
- ✓ The fund is not designed to hit a homerun or be the highest performer in any given year.
- ✓ The fund is designed to hit base hits with lower downside market volatility while attempting to achieve long-term upside performance compared to global equity markets.
- ✓ The fund can be a hedge to declining growth markets.
- ✓ The fund is an active and systematic rules-based investment strategy with three experienced portfolio managers.





Before investing in a PlanRock ETF, you should carefully consider the fund's investment objectives, risks, charges, and expenses. This and other information are in the prospectus and summary prospectus, a copy of which may be obtained by visiting the fund's website at www.PlanRockFunds.com, or by calling 800-677-6025. Please read the fund's prospectus and summary prospectus carefully before investing.

PlanRock Investment Management, LLC is a registered investment advisor. Registration as an investment advisor does not imply a certain level of skill or training. The PlanRock Funds are distributed by Northern Lights Distributors, LLC member FINRA/SIPC. Northern Lights Distributors, LLC is not affiliated with PlanRock.

Investment in PlanRock ETFs involves risk including possible loss of principal and may not be suitable for all investors. The Fund is new with a limited history of operations. There is no assurance that the Fund's strategy for allocating assets will achieve its investment objectives. The Fund is structured as an ETF and is subject to risks including market price variance, trading issues, and not being individually redeemable. ETF investments involve advisory and other expenses which will be indirectly paid by the Fund. The Adviser's judgments about the growth, value or potential appreciation of an investment may prove to be incorrect or fail to have the intended results, which could adversely impact the Fund's performance and cause it to underperform relative to its benchmark, or not to achieve its investment goal. Like any quantitative analysis, the Adviser's investment model carries a risk that the model used might be based on one or more incorrect assumptions. Rapidly changing and unforeseen market dynamics could lead to a decrease in the short-term effectiveness of the model and could have an adverse effect on the securities selected for the Fund. No assurance can be given that the Fund will be successful under all or any market conditions. Fund shares are not individually redeemable and are issued and redeemed by the Fund at their net asset value ("NAV") only in large, specified blocks of shares called creation units. Shares otherwise can be bought and sold only through exchange trading at market price (not NAV). Shares may trade at a premium or discount to their NAV in the secondary market. Ordinary brokerage commissions apply. Brokerage commissions will reduce returns.

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